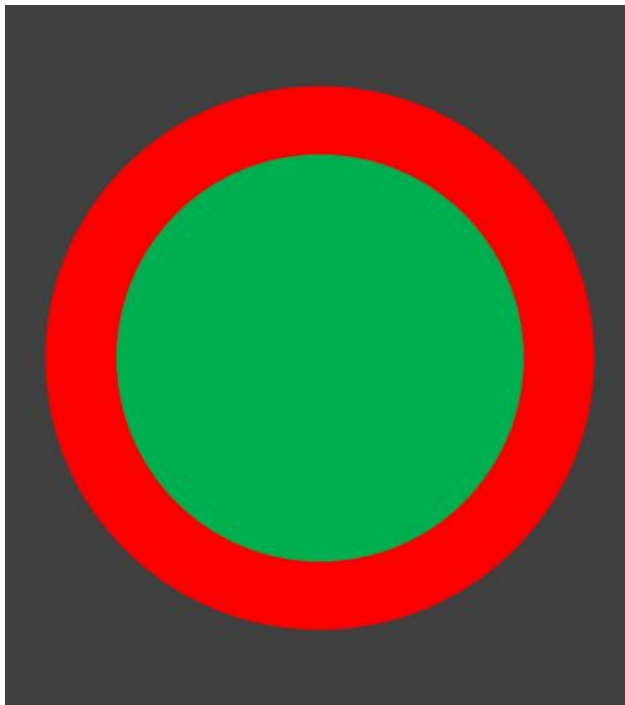


Generalizing Assured AI for Traffic Light Control

Daniel Stambler and Evan Leung

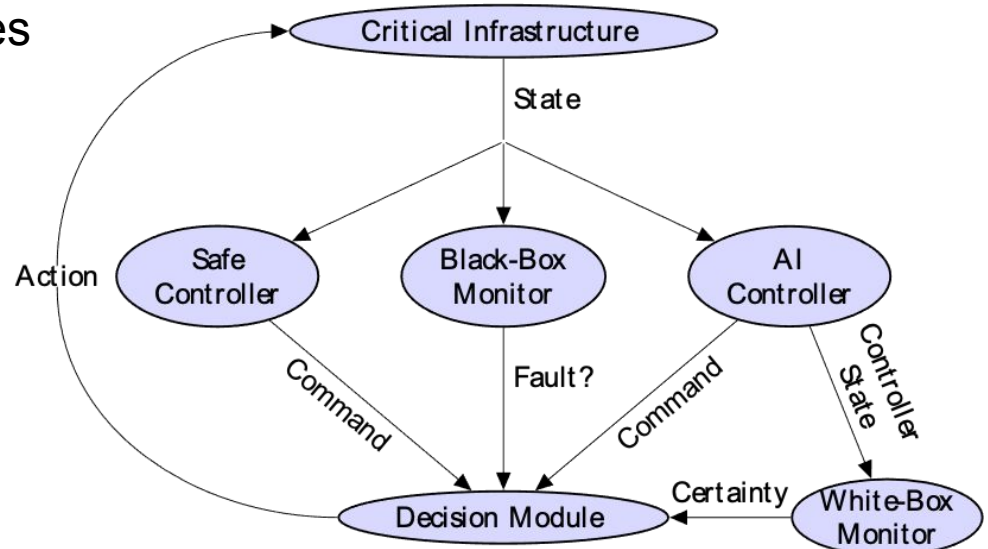
Assuring AI Systems

- AI systems becoming ubiquitous
- Cannot be used in critical systems
 - Need to guarantee the worst case
 - AI fails on edge cases
- Assuring AI
 - Switch to safe algorithm to handle situations when AI fails



AI Traffic Light Controllers

- Potential for more efficient travel through intersections
- Certain errors considered unacceptable
 - Unreasonably long wait times
- Blackbox Monitor
- Whitebox Monitor



Outline

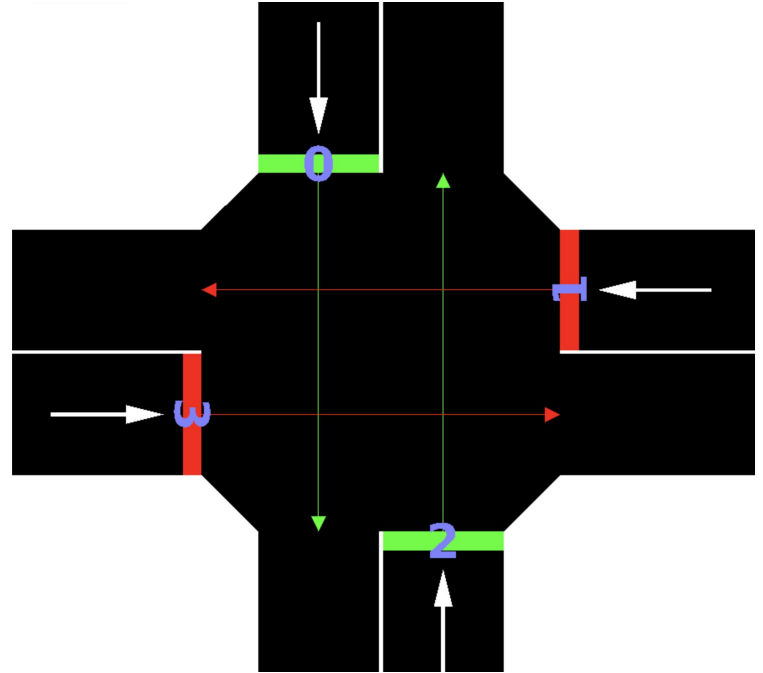
- Motivation
- **Background**
- Previous Work
- Problem Definition
- Approaches and Results
- Future Work

Definitions

- **Model:**
 - Differentiable mathematical formula for fitting input data
- **Reinforcement Learning:**
 - Process of training an agent to act in an environment, where it receives rewards for its actions. With enough time, the agent should learn to pick the best action
- **Evaluation:**
 - Process of using a trained model. Pass in inputs, get results
- **Monolithic Model:**
 - Inflexible model. To evaluate an $m \times n$ grid, the model needs to be trained on an $m \times n$ grid
- **General Model:**
 - Flexible model that can be evaluated on any $m \times n$ grid

Definitions: Defining our Environment

- Four way intersection, bidirectional roads
- Four incoming edges
- Four outgoing edges
- Straight + right turn on green
- Separate green light for left turns
- All lights (straight + left) must switch to yellow lights

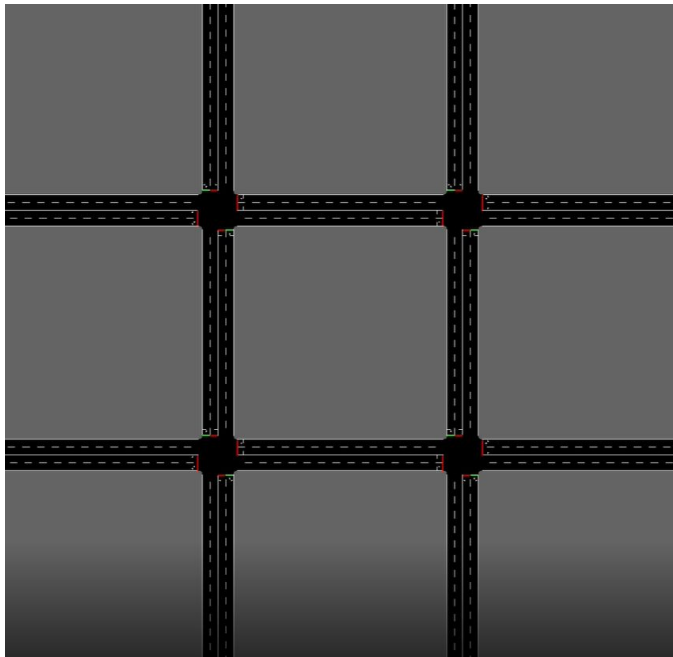


Outline

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Previous Work

- Monolithic 2x2 model using SUMO/FLOW
 - Can't scale up
- Generalized model in Gym CityFlow
 - Solves scaling problem
 - Couldn't replicate results



Early Challenges

- Onboarded to Gym CityFlow
 - Didn't see any learning
- Switched back to SUMO/FLOW
 - Replicated previous monolithic model success
 - Spent a while learning very large codebase
 - Understood Jerry's approach and its possible flaws, brainstormed new approaches

Outline

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Problem Definition

- Monolithic model takes too long to train for any topology larger than 2x2
- Goal - generalized model that:
 - outperforms Safe Controller, similar to Monolithic
 - can be applied to any $n \times m$ topology
- Performance measured by average speed of all cars in system

Previous Attempts at Generalized Model

- 2x2 grid where each intersection employs the same model
 - AI learns at each intersection
 - Keeps feature vector small
- Training was unsuccessful
 - Every intersection is a corner case



Outline

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Key Terms

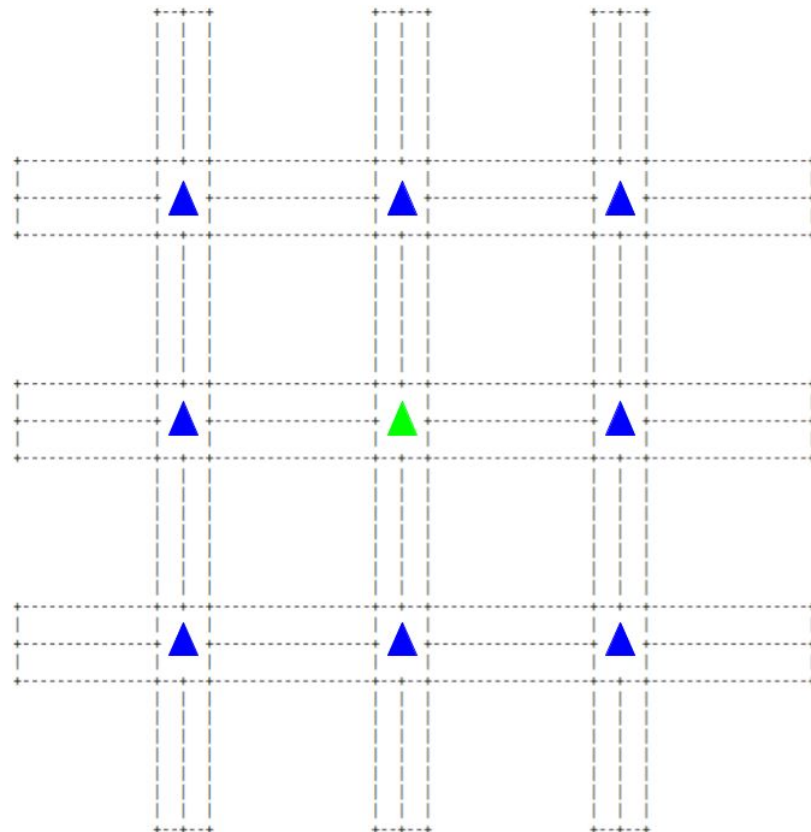
- **$N \times M$ training environment:** AI controller placed in the center of $N \times M$ grid of intersections, all other intersections safe or random
- **$N \times M$ evaluation environment:** trained AI controller placed at every intersection of $N \times M$ grid
- **Pertinent avg speed:** average speed over cars that enter edges connected to AI-controlled intersections
- **Grid padding:** add an extra layer of safe-controlled intersections around an $N \times M$ grid

Creating our own Tools

- Scripts to evenly distribute and limit training jobs across machines
- Scripts to manage jobs across machines
- Scripts for logging and plotting training metrics, finding best models

Approach 1

- 3x3 training environment
- Fixed controllers on outer 8 intersections
- AI controller in the center



Inputs to the Model

- Modifying feature vector
- Changes to the system
 - Change number of traffic lights for AI to update
 - Update RL actions function to manually update traffic lights that aren't at center node

Variable/Size	3 x 3 Monolithic Vals	Our Implementation Vals
Speeds	216	24
Distance to Intersection	216	24
Edge Number	216	24
Density	24	8
Velocity Average	24	8
Last Change	9	1
Direction	9	1
Currently Yellow	9	1
Total	723	91

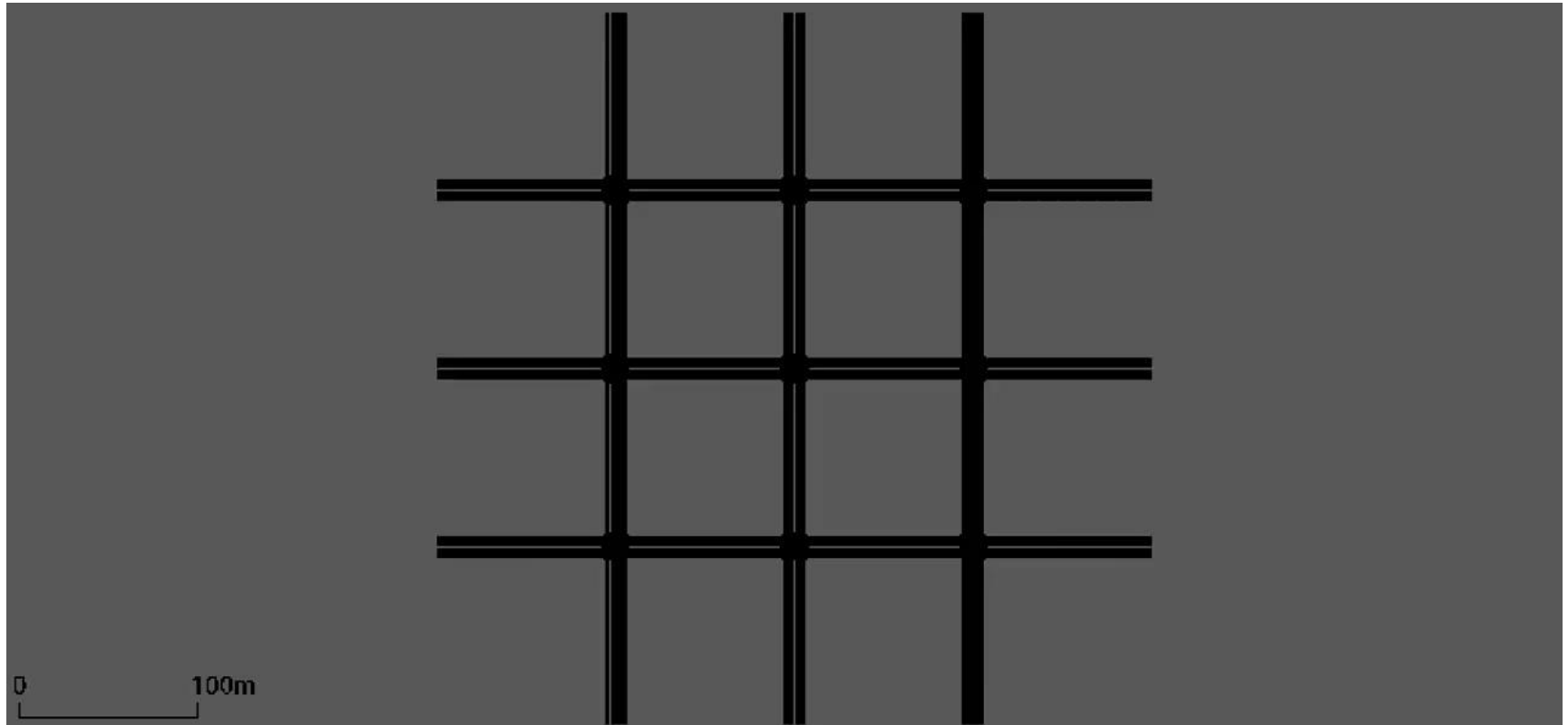
Experiments

- Three runs with 3x3 grid all safe controllers to establish a baseline
- Three runs with 3x3 grid **all safe controllers except for center node**
- Three runs with 3x3 grid **all random controllers except for center node**

Safe Controller Baselines

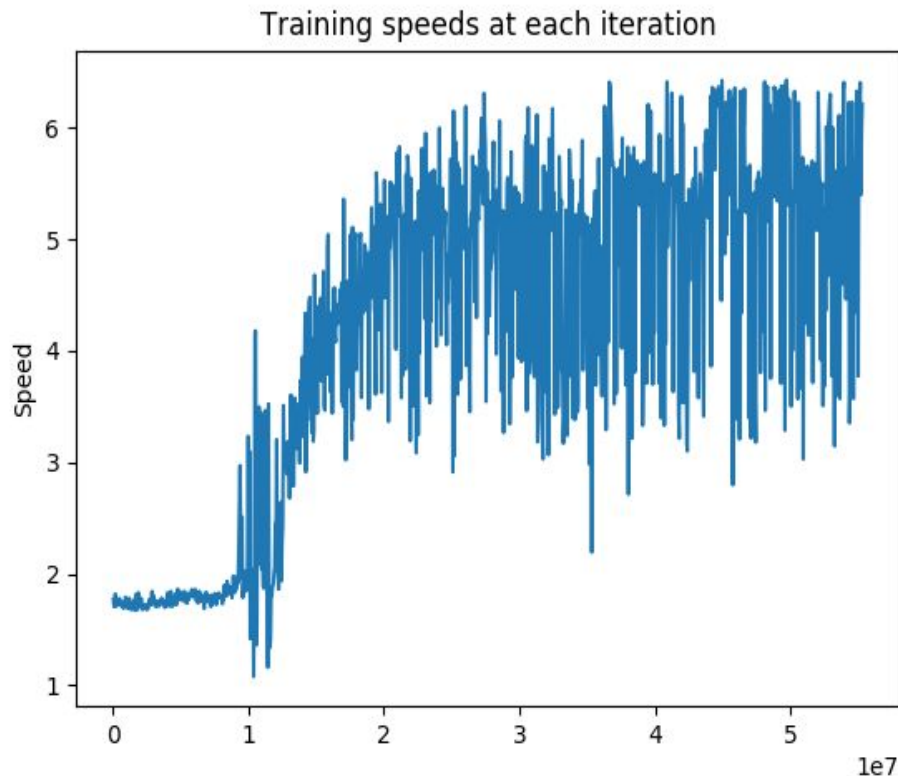
- Need to compare our 3x3 results with 3x3 safe controller
- Three Safe Controller runs under different seeds
 - Seed A: **5.59** m/s
 - Seed B: **5.57** m/s
 - Seed C: **5.55** m/s
- Average across runs: **5.57** m/s
- Note: **5.39** m/s on 5x5 with all safe controllers

Safe Controller Baselines



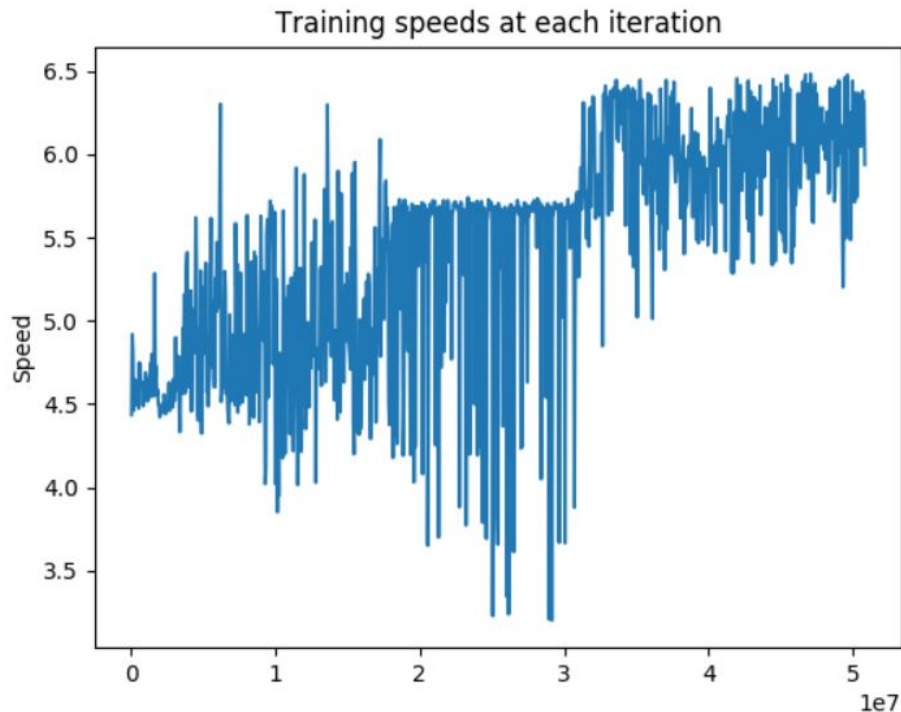
Monolithic 2x2 Baseline Results

Best Average Speed:
6.429 m/s (49,700,000 steps)

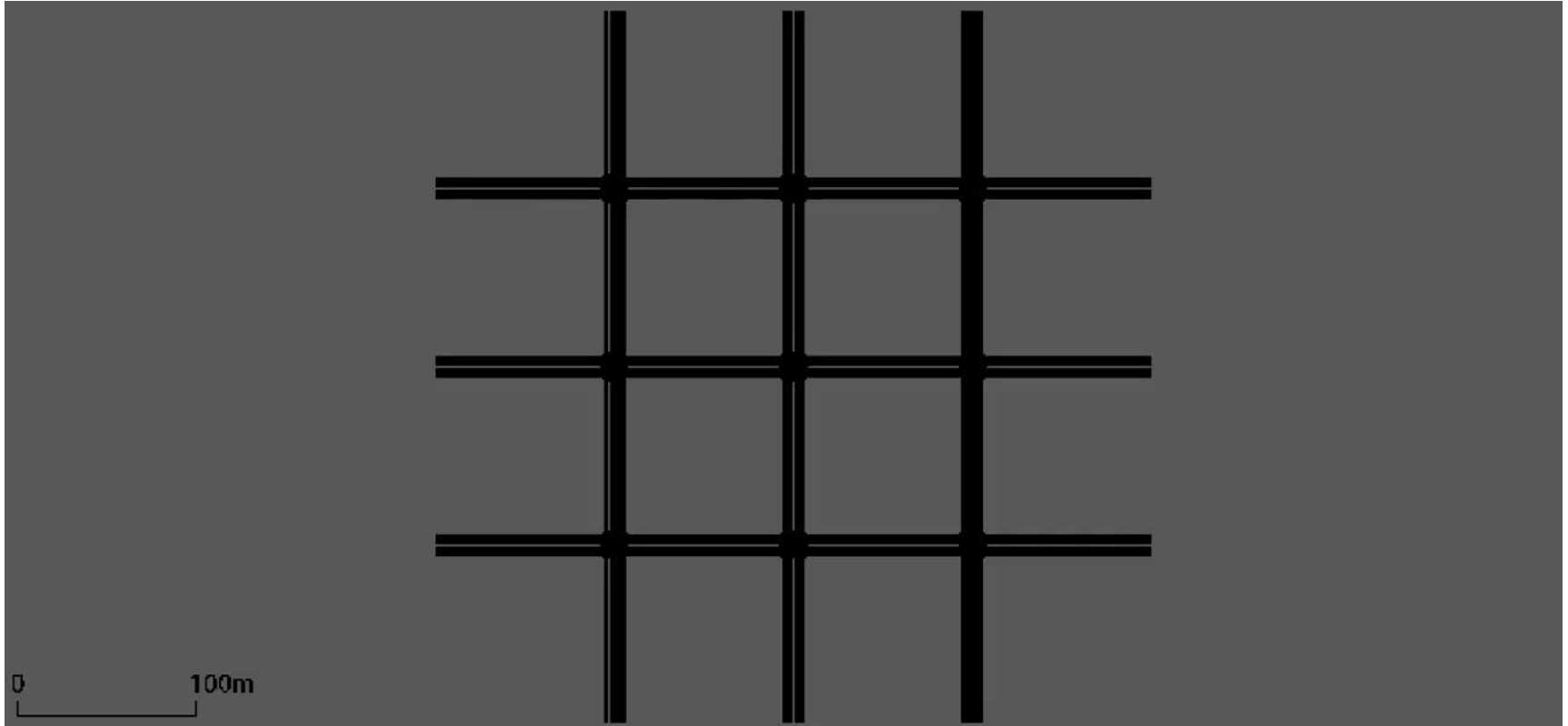


Safe Controller w/ One AI 3x3 Results

Best Pertinent Average Speed:
6.484 m/s (47,050,000 steps)
from seed B

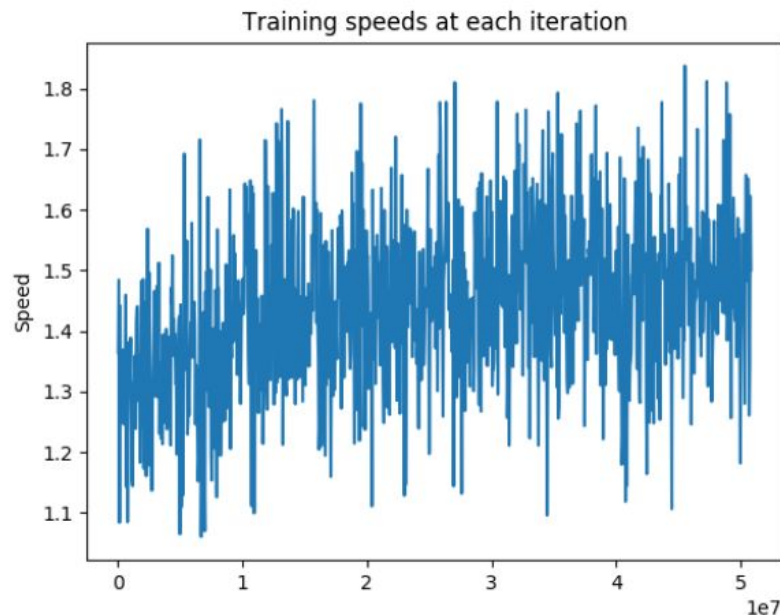


Training Environment Results



Random Controller 3x3 Results

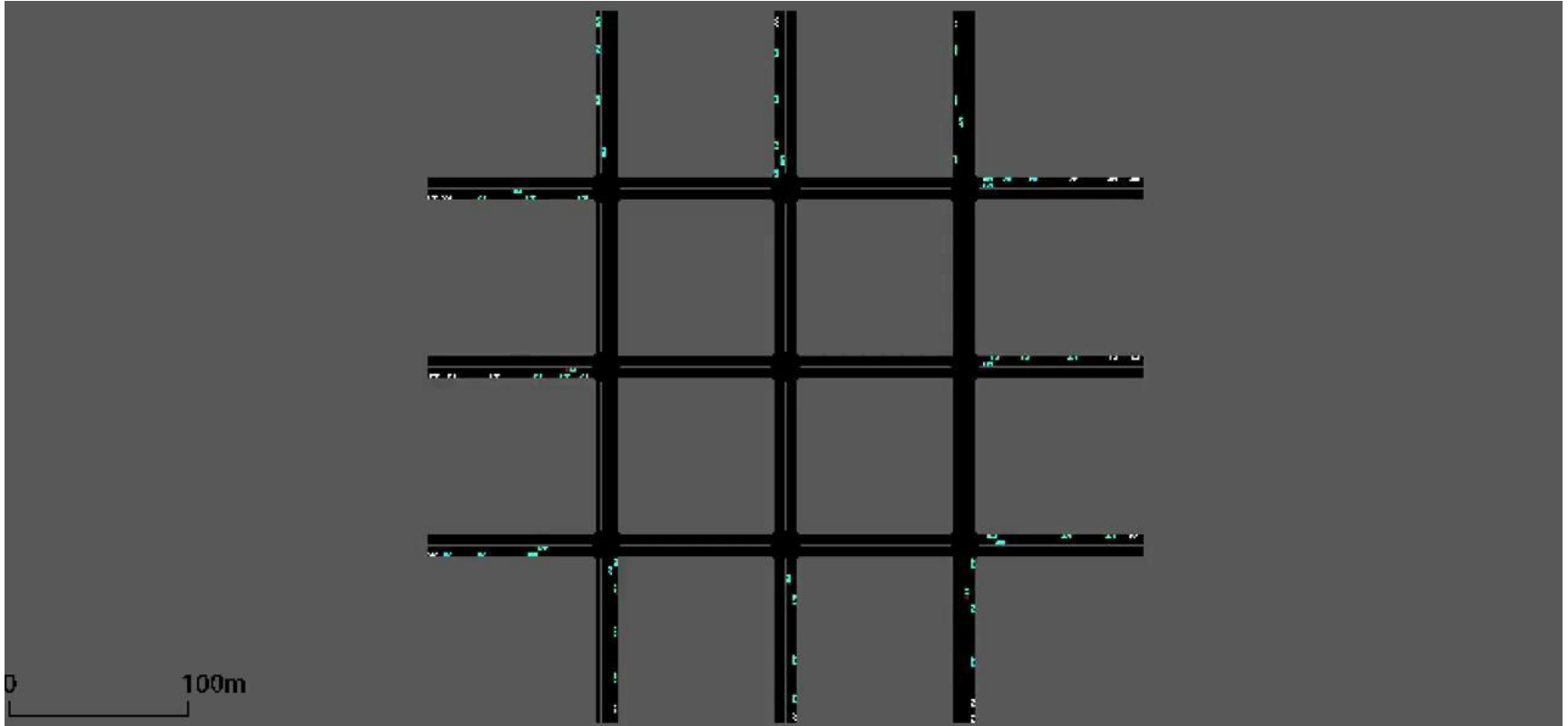
Best Pertinent Average Speed:
1.837 m/s (45,500,000 steps)
from seed C



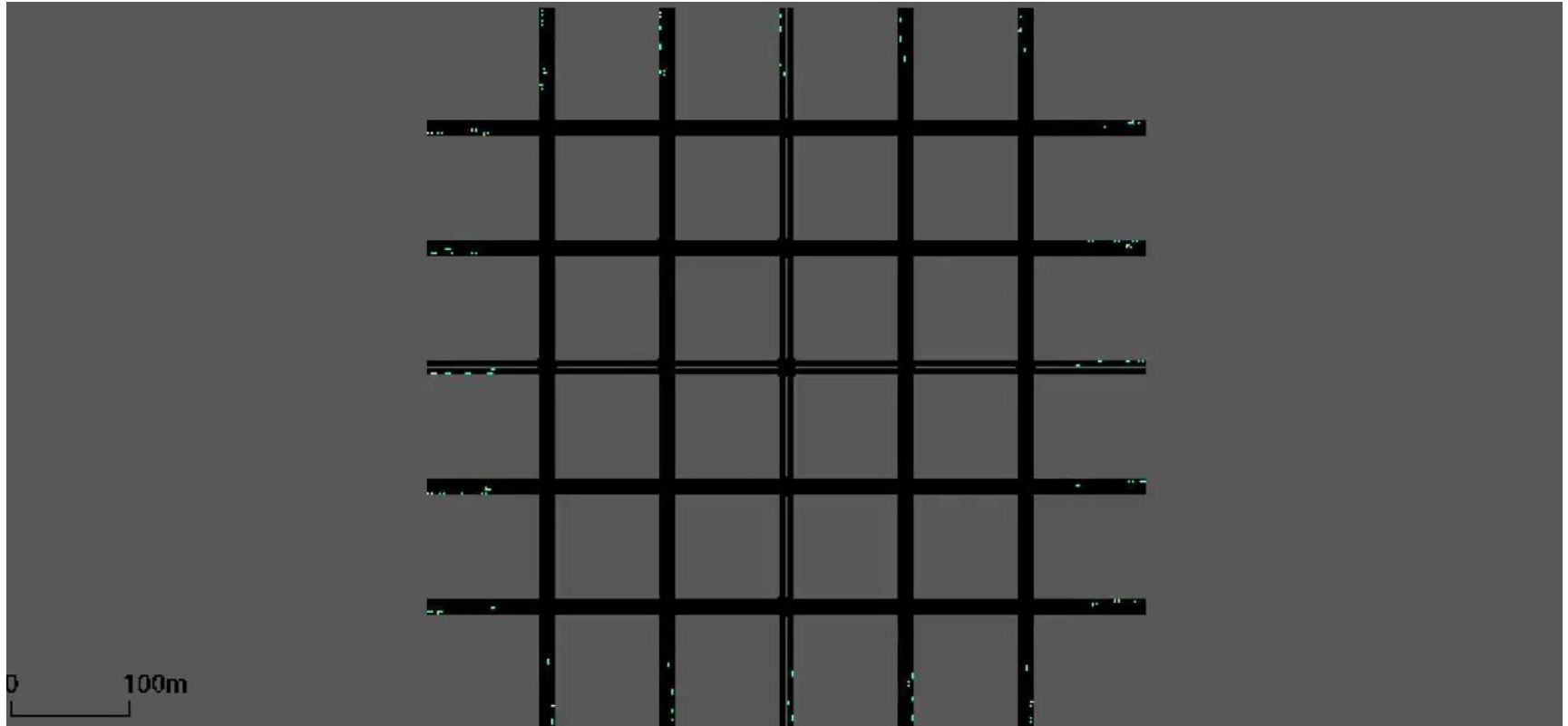
Evaluation Results

- Applied models to 3x3 and 5x5 evaluation environments
- Discovered models did not learn to generalize
- AIs trained on different environments and with different reported training speeds all yield ~4.3 m/s for 3x3 and 4.22 m/s for 5x5

Generalized Environment Results

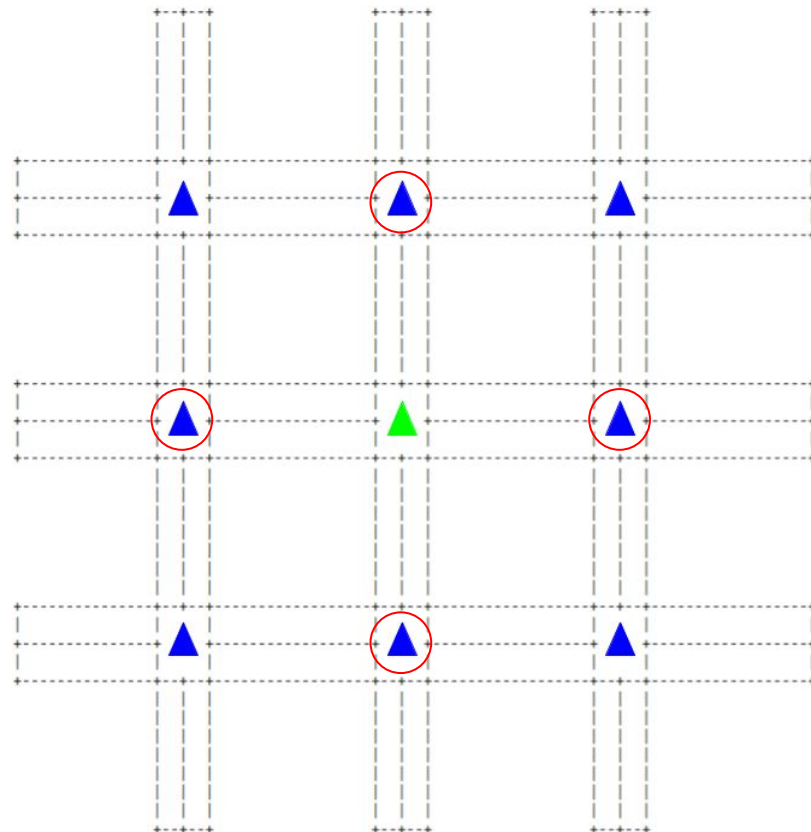


Generalized Environment Results



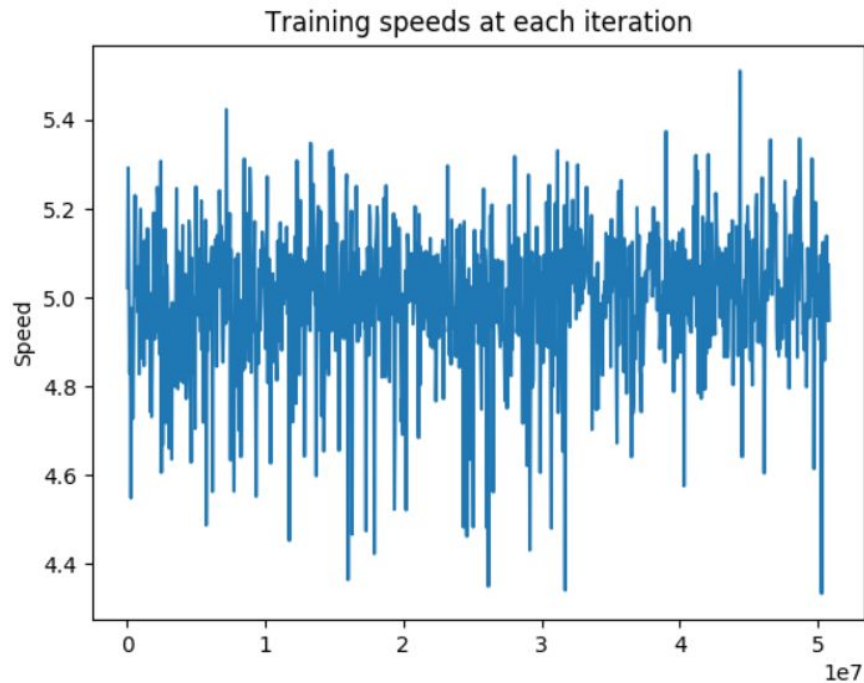
Approach 2

- Increase AI's observation space to “look ahead” 1 intersection
 - Work in tandem with other AIs on evaluation environment
- 5x5 training environment to avoid edge cases

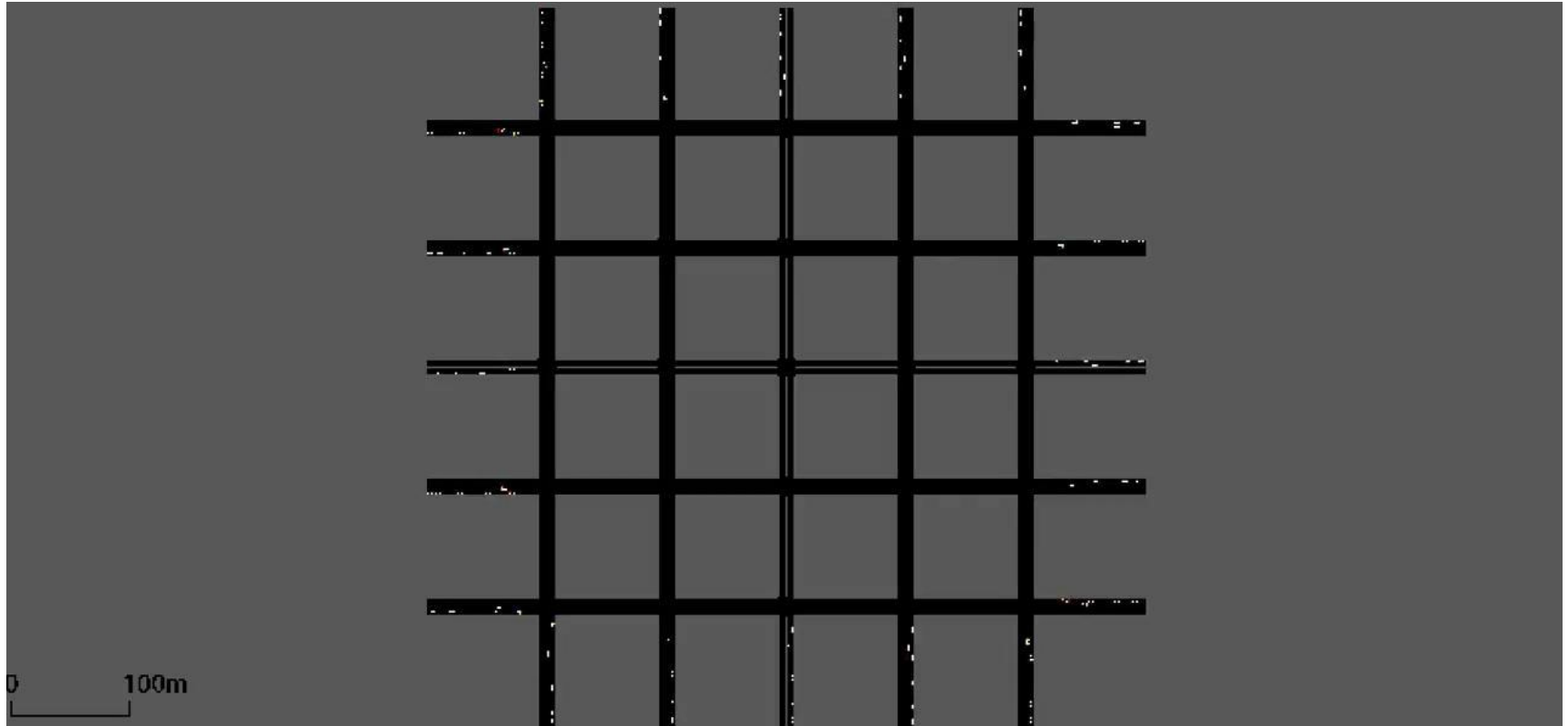


Look Ahead Controller Results

Best Pertinent Average Speed:
5.51 m/s (44,350,000 steps)
from seed B



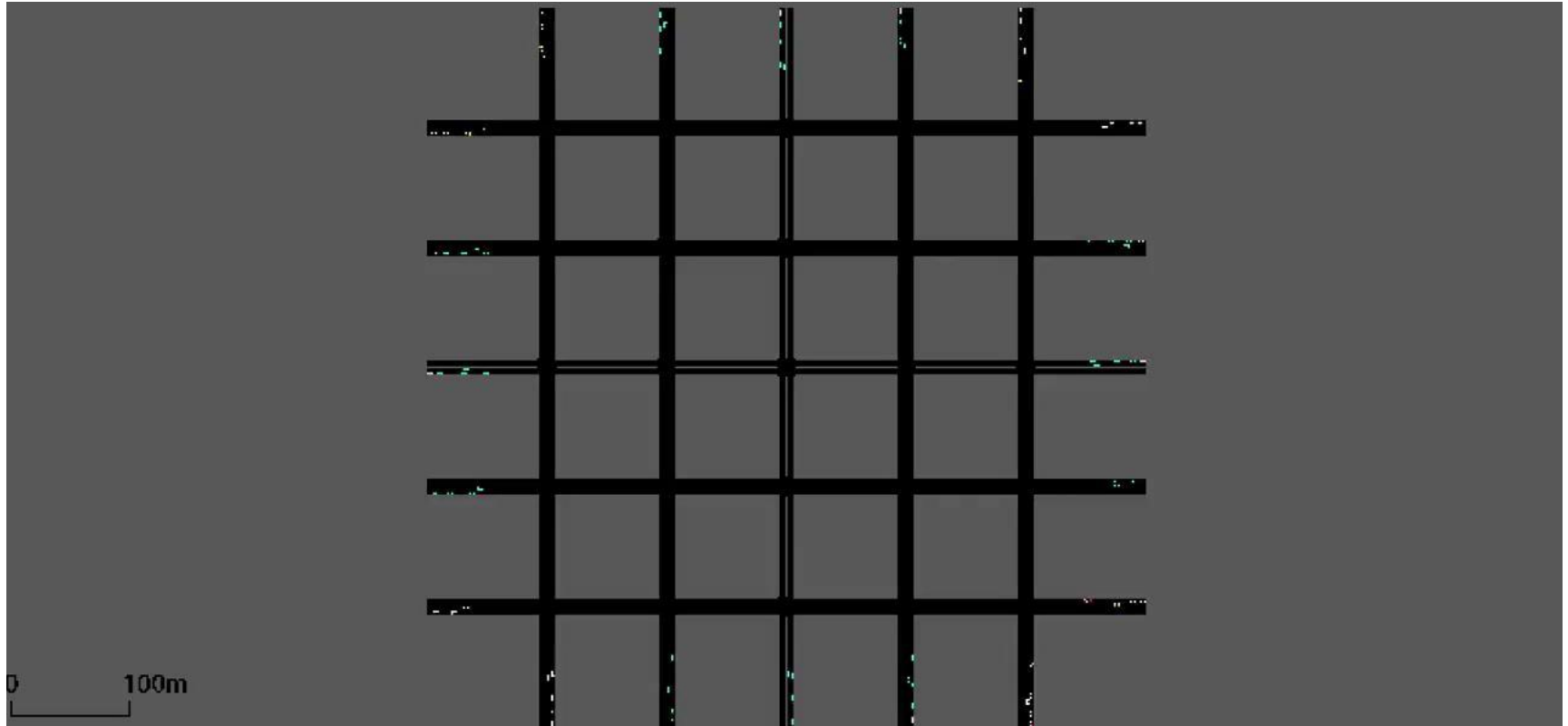
Training Environment Results



Look Ahead Evaluation Results

- Same results, failing to generalize/work with other AI controllers
- Average speed on 3x3 eval environment is 4.3 m/s

Evaluation Environment Results



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Future Work

- Training on multiple environments in parallel
 - Allows AI to learn more scenarios
- Replace SUMO with more efficient environment
 - Connect our environments with Gym CityFlow
 - Build a new environment that can take advantage of GPU resources

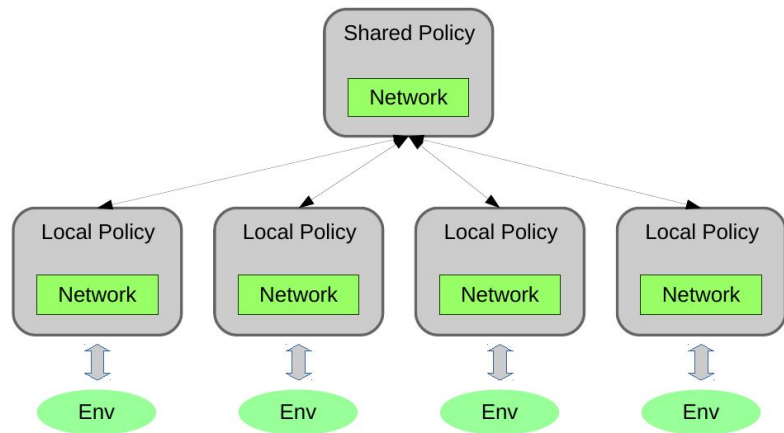


Image Source: https://github.com/wu6u3/async_ppo

Questions

Thank You